

Substitute for Form 1449/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	09/900,373
				Filing Date	July 6, 2001
				First Named Inventor:	Hua Li
				Art Unit	2828
				Examiner Name	Hung T. Vi
Sheet	2	of	2	Attorney Docket Number	42.P14872
NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			T ²
HU		N.A. OLSON et al., "Performance Characteristics of 1.5 μ m External Cavity Semiconductor Lasers for Coherent Optical Communication" Journal of Lightwave Technology LT-5 (1987) 510-515, April, No. 4, New York, New York, USA			
HU		ZHANG et al., "An Interference Filter Based External Cavity Laser For Dense Wavelength Division Multiplexing Applications", Technical Proceedings, Vol. 1, National Fiber Optics Engineers Conference, September 13-17, 1998.			
HU		ZORABEDIAN et al., "Interference-filter-tuned, alignment-stabilized, semiconductor external-cavity laser", Reprinted from Optics Letters, Vol. 13, page 826, October, 1988.			
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Examiner Signature		Date Considered	
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¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

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U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	Number-Kind Code ² (if known)			
H		US-	5,867,512	02/02/1999	Sacher	/
		US-	5,838,713	11/17/1998	Shimoji	
		US-	5,596,456	01/21/1997	Luecke	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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H		PCT/US99/24137		10/15/1999	PCT International Search Report	/	
	PCT/US02/21413		07/05/2002	PCT International Search Report			
		WO 00/49689		02/18/2000	New Focus, Inc.		
		EP0951112 A2		03/31/1999	European Patent Application		
		EP0687045 A2		06/06/1995	European Patent Application		

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